

Trans-Hydrogen-Bond $^h_2J_{NN}$ and $^h_1J_{NH}$ Couplings in the DNA A–T Base Pair: Natural Bond Orbital Analysis

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The recent recognition that trans-hydrogen-bond (trans-H-bond) NMR J couplings in biomolecules can be resolved and interpreted in structural terms^{1–8} offers an unambiguous way of detecting and analyzing H-bonds in biomolecules. However, measurements of trans-H-bond couplings in NH...N H-bonds, for example, N₁...H₃–N₃ in the DNA A–T base pair (Figure 1), provided the counter-intuitive finding that the *two-bond* coupling ($^h_2J_{NN} \approx 9$ Hz)⁴ is larger than the *one-bond* coupling ($^h_1J_{NH} \approx 3$ Hz).^{5,8} Natural bond orbital (NBO) analysis described here demonstrates that $^h_2J_{NN}$ and $^h_1J_{NH}$, are determined largely by three terms: two Lewis-type contributions (the single-orbital contribution from the adenine lone pair and the contribution from the $\sigma_{N_3H_3}$ natural bond orbital of the thymine ring) and one contribution from pairwise delocalization of spin density (between the lone pair in adenine and the σ^* antibonding orbital linking N₃ and H₃ of thymine). For $^h_2J_{NN}$ coupling, all three contributions are positive; whereas for $^h_1J_{NH}$ coupling, the delocalization term is negative, and the other two terms are positive, resulting in a small net positive coupling constant. This result rationalizes the experimental findings and demonstrates that the same hyperconjugative and steric mechanisms that stabilize the H-bond are involved in the transmission of J coupling information. The N₁...H₃–N₃ H-bond of the DNA A–T base pair is found to exhibit significant covalent character, but steric effects contribute almost equally to the trans H-bond coupling.

Unlike other properties of NMR that can be understood in terms of pseudo-classical physics, J coupling is purely a quantum mechanical phenomenon. Trans-H-bond J couplings, which speak directly to the nature of the hydrogen bond, have motivated a number of computational studies.^{5,9–13} The discovery of trans-H-bond J coupling has lead some to conclude that H-bonds exhibit covalent character^{1,9,14} (in accord with previous theoretical suggestions),¹⁵ while others have stressed that covalency is not a requirement for trans-H-bond coupling.¹³ The goals of the present model study of the DNA A–T base pair were: (1) to determine, by directly computing NBO contributions to couplings, the relative importance of covalent and nonbonding interactions to the transmission of coupling through H-bonds, and (2) to delineate the major contribution to the observed J coupling in terms of localized energetics responsible for H-bonding so as to determine what relationship exists between J coupling and the covalency of the H-bond.

In contrast to previous studies in which J coupling was related indirectly to computed valence-bond orders,⁹ and charge densities,¹³ the present analysis utilized the concepts and methods of NBO

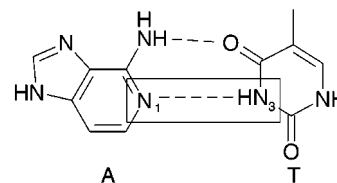


Figure 1. DNA A–T base pair model. The box highlights the hydrogen bond between N₁ of adenine (A) and N₃ of thymine (T).

theory. Briefly, the NBO package includes a suite of methods for describing the N -electron wave function $\psi(1,2,\dots,N)$ in terms of localized orbitals or configurations that are closely tied to chemical bonding concepts.^{15,16} Underlying these methods are sets of localized intrinsic “natural” atomic orbitals (NAO), natural bond orbitals (NBO), and semi-localized molecular orbitals (NLMO) that correspond closely to the Lewis structure representations used by chemists. To calculate the Fermi-contact contributions to J couplings, the single finite perturbation method of Pople et al.,^{17,18} which has been reintroduced recently by Barfield and co-workers,¹⁹ was used to produce wave functions with J coupling information “built-in”, which were then analyzed in the framework of natural J coupling (NJC)²⁰ as implemented in the NBO 5.0 software package.²¹ The resulting set of NBOs was used to decompose the calculated J coupling constants into their constituent contributions.²⁰ The resulting coupling contributions (Table 1) could then be related to the energetic features of the H-bond to determine whether the J coupling is associated with covalent or noncovalent features of the bond. The net calculated J couplings, 9.31 Hz for $^h_2J_{NN}$ and 2.64 Hz for $^h_1J_{NH}$, are in good agreement with the expected experimental values.⁷ Table 1 also displays the leading contributions to the $^h_2J_{NN}$ and $^h_1J_{NH}$ couplings:²⁰ $J^{(L)}_{LP(N_1)}$, the single NBO Lewis contribution from the adenine N₁ lone pair (LP_{N1}); $J^{(L)}_{\sigma_{N_3H_3}}$, the contribution from the Lewis $\sigma_{N_3H_3}$ NBO of the thymine; and $J^{(deloc)}_{LP(N_1)-\sigma^*(N_3H_3)}$, the pairwise delocalization of spin density between the adenine N₁ lone pair (LP_{N1}) NBO and the thymine $\sigma^*_{N_3H_3}$ NBO.

The total contribution from the natural Lewis structure, $J^{(L)}$, to the $^h_2J_{NN}$ coupling (4.16 Hz) is smaller than the corresponding contribution to the $^h_1J_{NH}$ coupling (6.71 Hz). The total contributions from delocalization, $J^{(deloc)}$, are nearly equal and opposite for the two couplings (4.85 Hz for $^h_2J_{NN}$ and -4.54 Hz for $^h_1J_{NH}$). The contribution from repolarization of the NBOs, $J^{(repol)}$, is small.

From Table 1, it is apparent that contributions from the delocalization of spin density play a significant, if not dominant, role in determining the sign and magnitude of the trans-H-bond J couplings. The importance of hyperconjugative delocalization in determining the $^h_2J_{NN}$ and $^h_1J_{NH}$ couplings indicates appreciable electron-sharing across the hydrogen bond. Therefore, it can be concluded that the covalent character of the N₁...H₃–N₃ hydrogen

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Table 1. Leading Calculated Contributions²⁰ to the h^2J_{NN} and h^1J_{NH} Couplings for the A–T Base Pair^a

	$J_{\text{LP}(\text{N}1)}^{(\text{L})}$	$J_{\sigma(\text{N}3\text{H}3)}^{(\text{L})}$	$J_{\text{LP}(\text{N}1)-\sigma^*(\text{N}3\text{H}3)}^{(\text{deloc})}$	$J^{(\text{L})}$	$J^{(\text{deloc})}$	$J^{(\text{repol})}$	net
h^2J_{NN} (/Hz)	2.04	3.08	3.68	4.16	4.85	0.30	9.31
h^1J_{NH} (/Hz)	1.29	6.33	-5.80	6.71	-4.54	0.48	2.64

^a Calculations on the model (Figure 1) were performed with the B3LYP²³ hybrid density functional using the 6-311G** basis set as implemented in Gaussian 98.²⁴ The three terms on the right are the full calculated values for the Lewis, delocalization, and repolarization contributions, which sum to the net calculated coupling.

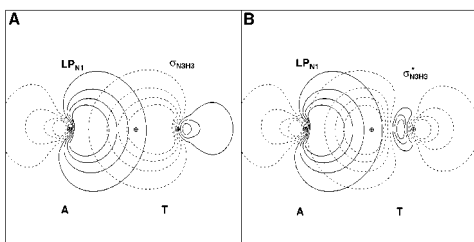


Figure 2. Pre-natural bond orbital contours: (A) overlap between the N_1 lone pair in adenine ($\text{LP}_{\text{N}1}$) and the σ -bonding orbital between N_3 and H_3 of thymine ($\sigma_{\text{N}3\text{H}3}$); (B) overlap between the N_1 lone pair in adenine ($\text{LP}_{\text{N}1}$) and the σ^* antibonding orbital between N_3 and H_3 of thymine ($\sigma^*_{\text{N}3\text{H}3}$).

bond in the A–T base pair plays a key role in determining the h^2J_{NN} and h^1J_{NH} coupling constants.

Previous work has demonstrated that the Lewis NBO contributions to J couplings correlate with their corresponding unfavorable steric exchange energies,²⁰ which can be estimated from natural steric analysis (NSA).²² Pre-NBOs (PNBOs), which are a set of localized Lewis NBO precursors that lack the final interatomic orthogonalization step, provide a convenient way to visualize interactions between orbitals in different bond regions, because their overlap is proportional to their interaction energy.²⁰ For the A–T base pair, the steric interactions between the $\sigma_{\text{N}3\text{H}3}$ and the $\text{LP}_{\text{N}1}$ PNBOs (Figure 2A), which are associated with the leading Lewis contributions to J coupling ($J_{\sigma(\text{N}3\text{H}3)}^{(\text{L})}$ and $J_{\text{LP}(\text{N}1)}^{(\text{L})}$; see Table 1) give a computed energy of 27.19 kcal/mol. The existence of Lewis coupling contributions of such a large magnitude mediated by noncovalent Pauli repulsion between the $\sigma_{\text{N}3\text{H}3}$ and the $\text{LP}_{\text{N}1}$ NBOs supports previous ideas that covalent bonds are not necessary for the transmission of J coupling across a hydrogen bond.¹³

In analogy to the steric-exchange energy, pairwise NBO delocalization contributions ($J^{(\text{deloc})}$) correlate with donor–acceptor delocalization energies, which can be calculated from second-order perturbation theory.²⁰ For the A–T base pair model, the NBO interaction associated with the $J_{\text{LP}(\text{N}1)-\sigma^*(\text{N}3\text{H}3)}^{(\text{deloc})}$ can be regarded as the second-order hyperconjugative delocalization energy arising from the donation of electron (spin) density from $\text{LP}_{\text{N}1}$ into $\sigma^*_{\text{N}3\text{H}3}$ ($\text{LP}_{\text{N}1} \rightarrow \sigma^*_{\text{N}3\text{H}3}$). Figure 2B displays a two-dimensional contour plot of the donor–acceptor interaction between the $\text{LP}_{\text{N}1}$ and $\sigma^*_{\text{N}3\text{H}3}$ PNBOs. This interaction energy is computed to be -35.16 kcal/mol; this outweighs the steric energy, providing a net stabilization of 7.97 kcal/mol. Although a number of additional, smaller interactions contribute to the strength of the H-bond, this example illustrates how the H-bond can be visualized as a delicate balance between favorable hyperconjugative interactions and unfavorable steric interactions. Both of these interactions are intrinsically

associated with the exchange region [i.e., the short-range region of “chemical” or “valence” forces, dominated by quantum-mechanical orbital interactions of filled–unfilled (hyperconjugative) or filled–filled (steric) type], and reflect their essential *quantal* nature. Both mechanisms can play an important role in trans-H-bond coupling.

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